



## Notice of Conformity with AGRANA requirements for PROCESSING AIDS

PRODUCT NAME (of the supplier):

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MATERIAL No. (of the supplier):

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SUPPLIER:

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MATERIAL NO. (to be filled in by  
AGRANA)

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Concentration (consistent with the  
information below)

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C.A.S/ EINECS

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### ***Preamble***

The above substance is used in food production as a processing aid, in accordance with Art. 3 (2) (b) of Regulation (EC) No. 1333/2008: i. e. it

*(i) is not consumed as food by itself*

*(ii) is intentionally used in the processing of raw materials, foods or their ingredients, to fulfil a certain technological purpose during treatment or processing; and*

*(iii) may result in the unintentional but technically unavoidable presence in the final product of residues of the substance or its derivatives provided they do not present any health risk and to not have any technological effect on the final product.*

The product is not intended for use as a food additive as it would otherwise fall under Regulation (EC) No. 1333/2008.

### ***Notice of Conformity***

With reference to the preamble, we, the undersigned, herewith certify that the above processing aid specified by PRODUCT NAME / MATERIAL No., supplied to AGRANA ("Client") or to a contract manufacturer, complies with the requirements set out in this document.



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### 1. Information on the processing aid as delivered

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	yes	no
1.1. The delivered product is a <u>flocculant</u> .	<input type="checkbox"/>	<input type="checkbox"/>
1.2. The delivered product is an <u>antifoam</u> .	<input type="checkbox"/>	<input type="checkbox"/>
1.3. The delivered product is an <u>anti-scaling agent</u> .	<input type="checkbox"/>	<input type="checkbox"/>
1.4. The delivered product is an <u>enzyme</u> .	<input type="checkbox"/>	<input type="checkbox"/>
1.5. The delivered product is a _____	<input type="checkbox"/>	<input type="checkbox"/>

A proprietary product specification will be provided to AGRANA in any case. Transmission in electronic form is desirable.

With each new evaluation, a sample is requested to establish a reference spectrum. If there are significant discrepancies in the comparison with subsequent samples, this is a matter for complaint.

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### 2. Specification and use of the processing aid delivered

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	yes	no
We confirm that		
2.1. the purity criteria comply with those laid down in Regulation (EU) No. 231/2012 „ <i>laying down specifications for food additives</i> “ listed in Annex II and III to Regulation (EC) No. 1333/2008 of the European Parliament and of the Council (as amended).	<input type="checkbox"/>	<input type="checkbox"/>
2.2. the processing aid is suitable for Kosher and Halal production and a certificate can be provided upon request.	<input type="checkbox"/>	<input type="checkbox"/>
2.3. the processing aid can also be used for non-food/feed uses in accordance with REACH.	<input type="checkbox"/>	<input type="checkbox"/>
2.4. the processing aid does not consist of animal components and the like are not used in the manufacturing process.	<input type="checkbox"/>	<input type="checkbox"/>
2.5. during the production of the product in question all necessary measures of quality assurance in the scope of our due diligence have been taken to exclude any adverse effects; that particularly means no usage or input of materials which are able to endanger or injure health because of hygienic or other reasons.	<input type="checkbox"/>	<input type="checkbox"/>



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**3. Conformity of the processing aid supplied with the applicable legislation for processing aids in food**

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|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|--------------------------|--------------------------|
| 3.1. We confirm that the supplied <b>processing aid</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                          |                          |                          |
| 3.1.1. can be used for the production of food and does not leave any residues which are hazardous to health when used as intended.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | <input type="checkbox"/> | <input type="checkbox"/> |                          |
| 3.1.2. is not a <b>genetically modified organism</b> (GMO) within the meaning of § 4 of the Austrian law on genetic engineering (BGBl. No. 510/1994 „ <i>Working with Genetically Modified Organisms, the Release and Placing on the Market of Genetically Modified Organisms and the Use of Gene Analysis and Gene Therapy on Humans</i> “ (as amended) or Directive 2001/18/EC „ <i>on the deliberate release into the environment of genetically modified organisms</i> “ (as amended) and Regulation (EC) No. 1829/2003 „ <i>on genetically modified food and feed</i> “ (as amended) and is not produced directly or indirectly from such organisms. | <input type="checkbox"/> | <input type="checkbox"/> |                          |
| 3.1.3. therefore, does not have to be labelled according to Regulation (EC) No. 1830/2003 „ <i>on traceability and labelling of genetically modified organisms</i> “ (as amended).                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | <input type="checkbox"/> | <input type="checkbox"/> |                          |
| 3.1.4. does not contain any substances listed in Annex II of Regulation (EC) No. 1169/2011 „ <i>on the provisions of food information to consumers</i> “ (as amended) that may cause <b>allergies and intolerances</b> . If the product contains substances that are included in this list, these must be mentioned to AGRANA together with the Notice of Conformity. Labelling must be carried out in accordance with Article 21 of Regulation (EU) No. 1169/2011.                                                                                                                                                                                       | <input type="checkbox"/> | <input type="checkbox"/> |                          |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | yes                      | no                       | n/a                      |
| 3.2. <b>Flocculants</b> meet the requirements of                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                          |                          |                          |
| 3.2.1. FDA* 21 CFR* 173.5 „ <i>secondary direct food additives permitted in food for human consumption – Acrylate-acrylamide resins</i> “ (as amended)<br>or DIN* 19622 „ <i>polyacrylamide for water treatment</i> “ (as amended).                                                                                                                                                                                                                                                                                                                                                                                                                       | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

OR



3.2.2. arrêté du 19 octobre 2006 „relatif à l'emploi d'auxiliaires technologiques dans la fabrication de certaines denrées alimentaires" (as amended).

yes no n/a

3.3. **Antifoams** meet the requirements of

3.3.1. the FDA\* 21 CFR\* 173.340 „secondary direct food additives permitted in food for human consumption – defoaming agents" (as amended).

OR

3.3.2. the arrêté du 19 octobre 2006 „relatif à l'emploi d'auxiliaires technologiques dans la fabrication de certaines denrées alimentaires" (as amended).

3.4. **Anti-scaling agents** meet the requirements of

3.4.1. FDA\* 21 CFR\* 173.5 „secondary direct food additives permitted in food for human consumption – Acrylate-acrylamide resins" (as amended).

OR

3.4.2. FDA\* 21 CFR\* 173.73 „secondary direct food additives permitted in food for human consumption – sodium polyacrylate" (as amended).

OR

3.4.3. FDA\* 21 CFR\* 173.45 „secondary direct food additives permitted in food for human consumption – polymaleic acid and its sodium salt" (as amended).

OR

3.4.4. arrêté du 19 octobre 2006 „relatif à l'emploi d'auxiliaires technologiques dans la fabrication de certaines denrées alimentaires" (as amended).



	yes	no	n/a
<b>3.5. Enzymes</b> meet the requirements of			
3.5.1. Regulation (EC) No. 1332/2008 „ <i>about food enzymes</i> ” (as amended) and are included in the Community list of food enzymes.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3.5.2. arrêté du 19 octobre 2006 „ <i>relatif à l’emploi d’auxiliaires technologiques dans la fabrication de certaines denrées alimentaires</i> ” (as amended).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
OR			
3.5.3. the JECFA* " <i>general specification for Enzyme Preparations used in food processing</i> ".	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
OR			
3.5.4. the specification for „ <i>Enzyme preparations</i> ” of the FCC* (as amended).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	yes	no	n/a
<b>3.6. We confirm that the <b>packaging</b> of the product in question</b>			
3.6.1. protects the contents from hygienic or other adverse effects.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3.6.2. does not release any undesirable substances, in particular substances that are harmful to health, into the product.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3.6.3. complies with the applicable food law provisions and regulations in Austria and the EU, in particular Regulation (EC) No. 1935/2004 „ <i>on materials and articles intended to come into contact with food</i> ” (as amended).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3.6.4. if it is made of <b>paper, cardboard</b> or <b>paperboard</b> complies with the current version of BfR* Recommendation XXXVI " <i>Paper and paperboard for food contact</i> ".	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3.6.5. if it is made of <b>plastics</b> , complies with the requirements of Regulation (EU) No. 10/2011 „ <i>on plastic materials and articles intended to come into contact with food</i> ".	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



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#### 4. Responsibility

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- 4.1. We confirm that our company has a quality assurance system in place.
- 4.2. In the event that AGRANA is supplied with a traded product, we assure that the manufacturer of the processing aid has an equivalent quality assurance system in place and assume responsibility for all point covered in this Notice of Conformity.
- 4.3. We acknowledge that we are responsible to consult the "Client" immediately if
- 4.3.1. we have the reason to assume that the quality of the packaging material is not suitable for the intended food contact.
- 4.3.2. the certificates and information specified in this Notice of Conformity cannot be fulfilled.
- 4.3.3. we become aware of any non-compliant processing aids already delivered.
- 4.4. The Notice of Conformity shall be reissued if
- 4.4.1. the legal situation changes.
- 4.4.2. new scientific findings are presented.
- 4.4.3. the last update was 3 years ago.

By signing this document, we agree to comply with this Notice of Conformity within the existing contractual framework and other existing agreements with the "Client".

This document is valid for all procurement orders with reference to it.

	<b>for the Supplier</b>	<b>approved by AGRANA</b>
<b>Company name/address</b>		
<b>Responsible manager Function</b>		
<b>Name</b>		
<b>Signature</b>		
<b>Date of Signature</b>		
<b>Company stamp supplier</b>		

\* Abbreviations and references

BfR	"Bundesinstitut für Risikobewertung" - Federal Institute for Risk Assessment; <a href="http://www.bfr.bund.de">www.bfr.bund.de</a>
BGBl	"Bundesgesetzblatt" - Federal Law Gazette; <a href="http://ris.bka.gv.at/">ris.bka.gv.at/</a>
CfR	Code of Federal Regulations; <a href="http://www.ecfr.gov">www.ecfr.gov</a>
DIN	"Deutsche Industrienorm" - German Industrial Standard; <a href="http://din.de">din.de</a>
EC/EU	European Community/European Union; <a href="http://eur-lex.europa.eu">eur-lex.europa.eu</a>
FCC	Food Chemical Codex
FDA	Food and Drug Administration; <a href="http://www.fda.gov">www.fda.gov</a>
JECFA	Joint FDA/WHO Expert Committee on Food Additives; <a href="https://www.who.int/">https://www.who.int/</a> and <a href="http://www.fda.gov">www.fda.gov</a>

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